

**United Nations Development Programme
Philippines**



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**Project Preparation: Securing Long-Term Sustainability of Multi-functional Landscapes
in Critical River Basins of the Philippines
2021 Annual Work Plan**

Implementing Partner:

United Nations Development Programme

Project Description

In June 2020, the project concept entitled “Securing Long-Term Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines., was cleared by the Global Environment Facility (GEF) CEO. The project aims to create an enabling environment for the realization of the National Land Degradation Neutrality (LDN) target and to mainstream biodiversity-friendly agricultural practices (BDFAPs) in the Cagayan de Oro River Basin (CDORB) through national policy framework implementation and capacity strengthening. To detail out the project concept into a full-blown project proposal, the GEF has provided a project preparation grant (PPG). This PPG aims to prepare and develop the required documents for final submission and approval by the GEF.

Country Programme Period: 2019-2023

Project/Outputs ID: 00116590/00113663

Project Start Date: 01 October 2020

Project End Date : 31 May 2022

Project Board Meeting/LPAC Date:

2021 AWP budget: USD 8,490.00

Total resources required USD 150,000.00

Total allocated resources: USD 150,000.00

- Regular _____
- Other:
 - Donor GEF: USD 150,000.00
 - Government _____

Unfunded budget: _____

In-kind Contributions: _____



Signed by:

EDWINE CARRIE, Deputy Resident Representative, UNDP

Date:

PROGRAMME ALIGNMENT

A.1 2019-2023 PFSD/CPD Outcome alignment	2: Urbanisation, economic growth, and climate change actions are converging for a resilient, equitable, and sustainable development path for communities.
A.2 2019-2023 CPD Output Indicator alignment¹	2.3.1 Area of UNDP-assisted protected areas with high biodiversity effectively managed [IRRF 1.4.1.2]
A.3 2018-2021 UNDP SP IRRF Output Indicator Alignment²	UNDP Strategic Plan Output 1.4.1 Solutions scaled up for sustainable management of natural resources, including sustainable commodities and green and inclusive value chains
A.4 Sustainable Development Goals Target Alignment	<p>Target 15.1: Ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, in line with obligations under international agreements</p> <p>Target 15.a: Mobilize and significantly increase financial resources from all sources to conserve and sustainably use biodiversity and ecosystems</p>

¹ Applicable once Project Document is approved; during Project implementation phase.

² Applicable once Project Document is approved; during Project implementation phase.

I. 2021 ANNUAL WORK PLAN

Project Title: Project Preparation: Securing Long-Term Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines

Project ID: 00116590

Output ID: 00113663

Implementing Partner: United Nations Development Programme

PLANNED ACTIVITIES (for Output No.1)						PLANNED BUDGET (for Output No.1)					
Activity/Sub-Activity Description	Activity Target ³	TIMEFRAME				RESPONSIBLE PARTY ⁴	IA CODE	Funding Source/Donor	Budget		Amount US\$
		Q1	Q2	Q3	Q4				Code	Description	
Project preparation grant to provide inputs to the inception report and develop the first draft the UNDP-GEF project document for project “Securing Long-Term Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines”.	Conduct of 1 National and Regional Validation Workshop					UNDP	001981	62000 / GEF TF	71200	International Consultant	1,200.00
									71300	Local Consultant	3,225.00
									71400	Contractual Service-Individual	300.00
									71600	Travels	2,000.00
									72500	Supplies	200.00
									75700	Workshops/Meetings	1000.00
									72400	Communication	300.00
									74500	Miscellaneous Expenses	265.00
		Submission of 2 nd Draft of Prodoc and its annexes									
	Submission final draft of Prodoc and its annexes										

³ Specify units, e.g., number of trainings, number of participants, number of representations, etc.

⁴ Indicate who will deliver the activity, e.g., UNDP, IP, or Responsible Parties (indicate name of RP)

PLANNED ACTIVITIES (for Output No.1)						PLANNED BUDGET (for Output No.1)					
Activity/Sub-Activity Description	Activity Target ³	TIMEFRAME				RESPONSIBLE PARTY ⁴	IA CODE	Funding Source/Donor	Budget		Amount
		Q1	Q2	Q3	Q4				Code	Description	
OUTPUT 1 TOTAL										8,490.00	

Prior Year Commitment⁵

Activity/Sub-Activity Description	Year of commitment	RESPONSIBLE PARTY	IA CODE	Funding Source/Donor	Budget		Amount
					Code	Description	US\$ (1=48.90)
Project preparation grant to provide inputs to the inception report and develop the first draft the UNDP-GEF project document for project “Securing Long-Term Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines” .	2020	UNDP	001981	62000 / GEF TF	71200	International Consultant	23,499.00
Project preparation grant to provide inputs to the inception report and develop the first draft the UNDP-GEF project document for project “Securing Long-Term Sustainability of Multi-functional Landscapes in Critical River Basins of the Philippines” .	2020	UNDP	001981	62000 / GEF TF	71300	Local Consultant	38,435.57

⁵ PPG 1st Year implementation

II. MANAGEMENT ARRANGEMENTS

The UNDP Philippines Country Office, specifically the Climate Action Programme Team, leads the project development process and manages the GEF PPG budget in full consultation with the UNDP-GEF Technical Advisor and the Department of Agriculture - Bureau of Soil and Water Management (DA-BSWM), being the project proponent.

As appropriate, a Technical Working Group (TWG) guides the PPG team, and reviews and endorses the GEF PPG deliverables. The TWG is responsible for ensuring that the deliverables outlined in this GEF PPG are completed on time and in line with UNDP and GEF requirements. The DA-BSWM chairs the Working Group. Working Group members includes UNDP, GEF, DA, DENR, Biodiversity Management Bureau (BMB), Forest Management Bureau (FMB), Department of Human Settlements and Urban Development (DHSUD), National Economic and Development Authority (NEDA), Department of Interior and Local Government (DILG), National Commission on Indigenous Peoples (NCIP), Cagayan de Oro River Basin Management Council (CDORBMC), Philippine Statistics Authority (PSA), NGOs, private sectors and other key stakeholders, as needed.

The GEF PPG team is composed of the following:

- 1) International Project Development Specialist (Team Leader);
- 2) National Natural Resources Management (NRM) and Sustainable Land Management Specialist (Lead National Consultant);
- 3) National Environmental and Social Safeguard Specialist
- 4) National Stakeholder Engagement and Gender Specialist
- 5) National Biodiversity and SLM Policy Specialist
- 6) PPG Coordinator

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Evaluation Plan

Evaluation Title	Partners (if joint)	Related Strategic Plan Output	PFSD/CPD Outcome	Planned Completion Date	Key Evaluation Stakeholders	Cost and Source of Funding
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*no evaluation plan since this is a PPG

Monitoring & Evaluation Budget

<p>Total Budget on Monitoring in Reporting Year Guidance: Costs associated with UNDP/project staff, consultants, project partners, supporting national statistical systems in designing project specific data collection methodologies (qualitative and quantitative), monitoring methods including stakeholder surveys and other qualitative methods, collection of data, analysis and dissemination of the findings to inform a project, either with project partners or to fulfill specific UNDP/project requirements (preferably the former).</p>		<p>Total budget on Decentralized Evaluations in Reporting Year (Mid Term / Final) Guidance: Costs associated in designing, implementing and disseminating evaluations for specific projects</p>	
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IV. ANNUAL PROCUREMENT PLAN - INDICATE FIELDS / INFORMATION NEEDED

#	Request ID	Requester Name	Title of Procurement Action	Type of Procurement Action	Procurement Category	Estimated Contract Value (USD)	Is Amendment?	Amendment Value (USD)	Submission Date for Documents	Target Purchase Order Date (if Goods)	Planned Contract Start Date (if Civil Works, IC, or Services)
3	PHL-0000125285	Jemilyn Lorenzo	Amendment with Cost_Ramon Padilla	Individual Contract	Individual Consultants - National	0	Yes	389			
4	PHL-0000125378	Jemilyn Lorenzo	Amendment with Cost_Elizabeth Magallon	Individual Contract	Individual Consultants - National	0	Yes	740			
TOTAL						0		1,129			
Grand Total (Estimated Contract Value+ Amendment Value)								1,129			

V. RISK LOG (UPLOAD IN ATLAS: GRANTS > PROJECT MANAGEMENT > APPROVED PROJECTS > RISKS)

No.	Risk Rating	Impact and Probability	Description	Date Identified	Type	Countermeasures/ Management Response	Owner	Last Update	Status
1	Low	Impact – 4 Probability - 1	Site visits can be restricted due to COVID situation.	6/26/2020	Operational; Strategic	UNDP CO and PPG to comply with strict health protocols; UNDP to engage field personnel who will work with DENR local offices	UNDP	9/9/2021	Majority and priority consultation stakeholder activities have been completed. The team is planning to conduct a Validation Workshop with the targeted communities which were not able to join

						on stakeholder consultations			the online Validation Workshop. Given the internet connection issues, this should be conducted face-to-face. However, because of the pandemic situation, this activity has not pushed through yet. With the rising COVID cases in Mindanao, this will not be pushed through anymore. Community stakeholder which joined the online validation Workshop involved the key community beneficiaries being targeted by the Project and documented in the Stakeholder Engagement Report.
2	Medium	Impact – 4 Probability - 1	There can be a delay on the submission of the first drafts of the UNDP-GEF ProDoc because of the delay on site visits.	6/26/2020	Operational	UNDP CO and PPG will collect data on the field virtually, if possible, so as to comply with the due date of the deliverable.	UNDP	9/9/2021	This has not been the cause of delay as stakeholder consultations conducted were sufficient to provide the necessary details

						UNDP to engage field personnel who will work with DENR local offices to conduct field data gathering.			for the 1 st draft of the Project Document.
3	Medium	Impact – 4 Probability - 1	Delay on the conduct of the National and Validation Workshop due to the delay on the submission of the first draft of ProDoc	10/20/2020	Operational	Ensure that the countermeasure mentioned above are followed.	UNDP	9/9/2021 - COMPLETED	Completed. Conducted last 16 April 2021.
4	High	Impact – 5 Probability – 3	Delay on submission of final CEO ER package to GEF. Request for extension of deadline, i.e., until February 2022, has been requested due to the need to have the due diligence assessment results cleared by HQ	9/13/2021	Strategic	Since the Project is heavy on private sector participation, the due diligence assessment is necessary to ensure that risks mitigation measures are identified at the onset.	UNDP	9/13/2021	The Country Office engaged a Due Diligence Specialist who has completed the Risk Assessment Tools for the private sector companies which have pledged co-financing commitments for the Projects. Risk Mitigation and Communication Plans have been drafted. The case, however, needs to be discussed with the Regional Bureau Director and later, with the Advisory Committee,

									given the high risk of private sector participation in the over-all project design. The time needed for the whole process of securing clearance from the Advisory Committee may extend beyond December 2021 when the ProDoc submission has been targeted.
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